

400 Garden City Plaza  
Garden City, New York 11530  
(516) 742-4343 - Telephone  
(516) 742-4366 - Facsimile  
e.mail: intprop@ssmp.com

**SCULLY, SCOTT, MURPHY  
& PRESSER****Fax****RECEIVED  
CENTRAL FAX CENTER****JUN 06 2005**

**To:** Examiner: Harish T. Dass  
Group Art Unit: 3628  
Confirmation No.: 3901

**From:** John S. Sensny, Esq.

**Fax:** 703-872-9306

**Pages:** 17 (Including fax cover sheet)

**Phone:**

**Date:** June 6, 2005

**Re:** Applicants: Rosario Gennaro, et al.  
Serial No.: 09/734,086  
Filed: December 11, 2000  
For: "SECURE ELECTRONIC STOCKS  
AND OTHER TITLES AND INSTRUMENTS"  
Docket: YOR920000598US1 (13893)

**CC**

**AMENDMENT UNDER 37 C.F.R. §1.116 (Final Rejection)****TRANSMITTED HERewith:**

1. Amendment Transmittal Letter (in duplicate);
2. Amendment under 37 C.F.R. §1.116; and
3. Certificate of Transmission of Facsimile, dated June 6, 2005 (in duplicate).

**FOR:**

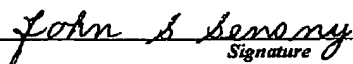
Applicants: Rosario Gennaro, et al.  
Serial No.: 09/734,086  
Filed: December 11, 2000  
For: "SECURE ELECTRONIC STOCKS AND OTHER TITLES AND  
INSTRUMENTS"  
Docket: YOR920000598US1 (13893)

JSS:jj

**CONFIDENTIALITY:** The documents accompanying this facsimile transmission may contain information which is either confidential or legally privileged and is intended only for the authorized use of the individual or entity named above without right or publication or republication, dissemination or disclosure except as expressly set forth or established by course of dealing. All rights are reserved. If you are not the intended recipient, you are hereby notified that any disclosure, copying, distribution or use of the contents of this facsimile is prohibited. If you received this transmission in error, please notify us immediately by telephone to arrange for return of the documents.

If you have any problems concerning this facsimile, please call (516) 742-4343 and ask for Julie @ Ext. 569.


[illegible]

AMENDMENT TRANSMITTAL LETTER (Large Entity)					Docket No.	
Applicant(s): <b>Rosario Gennaro, et al.</b>					YOR920000598US1 (13893)	
Application No. 09/734,086	Filing Date December 11, 2000	Examiner Harish T. Dass	Customer No. 23389	Group Art Unit 3628	Confirmation No. 3901	
Invention: <b>SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS</b>						
<b>COMMISSIONER FOR PATENTS:</b>						
Transmitted herewith is an amendment in the above-identified application. The fee has been calculated and is transmitted as shown below.						
<b>CLAIMS AS AMENDED</b>						
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE	
TOTAL CLAIMS	20 -	20 =	0	x \$50.00	\$0.00	
INDEP. CLAIMS	3 -	3 =	0	x \$200.00	\$0.00	
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00	
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>	
<p><input checked="" type="checkbox"/> No additional fee is required for amendment.</p> <p><input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____</p> <p><input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed.</p> <p><input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account <b>50-0510/IBM</b></p> <p><input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.</p> <p><input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.</p> <p><input type="checkbox"/> Payment by credit card. Form PTO-2038.</p> <p><b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b></p>						
<div style="text-align: center;"> Signature</div> <p><b>John S. Sensny</b> Registration No. 28,757</p> <p><b>Scully, Scott, Murphy &amp; Presser</b> 400 Garden City Plaza - Suite 300 Garden City, New York 11530 (516) 742-4343</p> <p>CC: JSS:jy</p>			<p style="text-align: right;">Dated: <b>June 6, 2005</b></p> <div style="border: 1px solid black; padding: 5px; margin-top: 10px;"><p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____</p><p style="text-align: center;">(Date)</p><p style="text-align: center;">_____ Signature of Person Mailing Correspondence</p><p style="text-align: center;">_____ Typed or Printed Name of Person Mailing Correspondence</p></div>			

P11LARGE/REV08

<b>CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)</b> Applicant(s): <b>Rossario Gennaro, et al.</b>			<b>Docket No.</b> <b>YOR920000598US1 (13893)</b>
<b>Application No.</b> <b>09/734,086</b>	<b>Filing Date</b> <b>December 11, 2000</b>	<b>Examiner</b> <b>Harish T. Dass</b>	<b>Group Art Unit</b> <b>3628</b>
<b>Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS</b>			
<p>I hereby certify that this <u>AMENDMENT UNDER 37 C.F.R. §1.116</u> (Identify type of correspondence) is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>(703) 872-9306</u> ) on <u>June 6, 2005</u> (Date)</p> <p><u>John S. Sensny</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u>John S. Sensny</u> (Signature)</p> <p><b>Note: Each paper must have its own certificate of mailing.</b></p>			

P18/REV02

AMENDMENT TRANSMITTAL LETTER (Large Entity)				Docket No.	
Applicant(s): Rosario Gennaro, et al.				YOR920000598US1 (13893)	
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/734,086	December 11, 2000	Harish T. Dass	23389	3628	3901
Invention: SECURE ELECTRONIC STOCKS AND OTHER TITLES AND INSTRUMENTS					
<b>COMMISSIONER FOR PATENTS:</b>					
Transmitted herewith is an amendment in the above-identified application.					
The fee has been calculated and is transmitted as shown below.					
<b>CLAIMS AS AMENDED</b>					
	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	20 -	20 =	0	x \$50.00	\$0.00
INDEP. CLAIMS	3 -	3 =	0	x \$200.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
<b>TOTAL ADDITIONAL FEE FOR THIS AMENDMENT</b>					<b>\$0.00</b>
<input checked="" type="checkbox"/> No additional fee is required for amendment.					
<input type="checkbox"/> Please charge Deposit Account No. _____ in the amount of _____					
<input type="checkbox"/> A check in the amount of _____ to cover the filing fee is enclosed.					
<input checked="" type="checkbox"/> The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account 50-0510/IBM					
<input checked="" type="checkbox"/> Any additional filing fees required under 37 C.F.R. 1.16.					
<input checked="" type="checkbox"/> Any patent application processing fees under 37 CFR 1.17.					
<input type="checkbox"/> Payment by credit card. Form PTO-2038.					
<b>WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.</b>					
 Signature			Dated: June 6, 2005		
John S. Sensny Registration No. 28,757					
Scully, Scott, Murphy & Presser 400 Garden City Plaza - Suite 300 Garden City, New York 11530 (516) 742-4343					
cc: JSS:jy					
<div style="border: 1px solid black; padding: 5px;"><p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on _____</p><p>(Date)</p><p>_____ Signature of Person Mailing Correspondence</p><p>_____ Typed or Printed Name of Person Mailing Correspondence</p></div>					

P11LARGE/REV09

**Response Under 37 C.F.R. §1.116**  
**Expedited Procedure Examining**  
**Examining Group 3628**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**RECEIVED**  
**CENTRAL FAX CENTER**  
**JUN 06 2005**

**Applicant(s):** Rosario Gennaro, et al.      **Examiner:** Harish T. Dass  
**Serial No:** 09/734,086      **Group Art Unit:** 3628  
**Filed:** December 11, 2000      **Docket:** YOR920000598USL(13893)  
**For:** SECURE ELECTRONIC      **Dated:** June 6, 2005  
STOCKS AND OTHER  
TITLES AND INSTRUMENTS

**Confirmation No.:** 3901

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT UNDER 37 C.F.R. §1.116**

Dear Sir:

In response to the Office Action dated April 6, 2005, please amend the subject patent application as follows.

---

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

**Dated:** June 6, 2005

John S. Sensny  
John S. Sensny

G:\lbm\105\13893\amend\13893.am4.doc